

State of Connecticut

Department of Economic and Community Development

Urban Action Grant Program

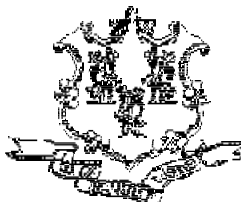
Application

Application Instructions

General Description:

This Application is a brief outline to enable the DECD to determine the eligibility and strength of the applicant and/or project to apply for the Urban Action Grant Program. *If the entity submitting this request will not be the final recipient of the funds, please complete the slipsheet entitled "Application for Pass-through".* All information accompanying this Application is confidential and exempt from the Freedom of Information Act.

1. **Applicant Name:** List the full legal name of the applicant for financial assistance.
2. **Address:** Mailing address where correspondence should be sent. If different from the applicant location, so indicate.
3. **Contact Person:** If appropriate, include title.
4. **Project Name:** Full title of project. If unsure of title, check with DECD staff.
5. **Project Location:** Give the location where financing will be used. The municipality is the jurisdiction to whom property taxes are paid.
6. **Federal Employer ID # and SIC Code:** Please list both numbers (if applicable.)
7. **Form of Business/Organization:** Indicate if organization is for-profit, not-for-profit or a municipality. Attach copy of corporate certificate if applicable.
8. **Ownership:** Indicate form of corporation if applicable. Minority or woman ownership must be 51% to be considered for this status. Minority includes a variety of categories such as racial, ethnic, gender and disability status. Check with DECD staff for confirmation.
9. **Nature of Business/Organization:** Indicate what type of industry the business/organization is engaged in as well as the Business Activity (section B) and Type of Product or service (section C).
10. **Gross Sales/Receipts:** Gross/Sales receipts of the organization during the last calendar or fiscal year.
11. **Ownership and Subsidiaries:** If not practical to list every business owner, include owners holding 10% or more of the organization. If ownership of the recipient of the funds is different from the organization, please list on a separate sheet the owners of the recipient.
19. **Employment:** Projected employment is the anticipated number of employees in the organization within 2-5 years. Please classify full-time or part-time.
20. **Required documents:** (for pre-application phase):
 - A. **Business Plan:** Include a copy of the organization's current business plan.
 - B. **Business Financial Statements:** If available, CPA prepared financial statements for the most recent three years with 5 year projections. Otherwise, federal tax returns.
- C. **Cash Flow:** Please include, as a part of the financial statements, a summary of cash flow covering prior year's operations.
- D. **Payroll, Sales, Corporate Taxes** paid to Connecticut (past 3 years and projected for 5 years).
- E. **Personal financial statements** of owners of 10% or more of the company.
- F. **Schedule of related affiliated companies.**
- G. **If the project involves the purchase of a business, please provide the following:**
 - I. **Purchase Agreement** or memorandum between the parties.
 - II. **Current balance sheet** of business being acquired.
 - III. **Appraisal, or estimate of value, of real estate and equipment.**
21. **Project Narrative:** Describe the project for which funding is being requested (i.e., type of equipment to be purchased, nature of inventory and uses for working capital). For a building, include address, acres of land, building's square feet, and size of any building addition. List any tenants. If project involves refinancing, describe who will be refinanced and the purpose for the loan.
22. **Assistance Requested:** Under "Amount of Financing Requested" specify amount and nature of assistance. For "Services Requested" identify type of service requested.
23. **Conventional Financing:** Outline the amount and terms of any funds from conventional sources that are available to fund all, or a portion of the project. If applicable, indicate reasons for denial.
25. **Public Disclosure:** The DECD is required by law to include in its final approval consideration the extent to which the applicant has included community and employee participation, *unless* this question is answered "Yes", and an explanation is provided



State of Connecticut
Department of Economic and Community Development
Urban Action Grant Application
Pursuant to §4-66(c) of the Connecticut General Statutes

SECTION I

APPLICANT IDENTIFICATION

1. Applicant's Full Legal Name: Mayor Justin Elicker
2. Applicant City of New Haven
3. Contact Person: Michele Bonanno
- Telephone: (475) 220-1391
4. Project Name: Floyd Little Athletic Center Capital Improvement Plan
5. Project Location: New Haven, CT Municipality: City of New Haven
6. Federal Employer Identification # 06-6001876 SIC Code: _____

SECTION II

APPLICANT INFORMATION

7. Form of Organization (attach copy of corporate certificate)

_____ Private for Profit _____ ☒ Municipality
_____ Non-Profit 501(c)3 _____ Other _____
_____ Other non-profit _____

8. Form of Ownership

_____ Corporation _____ Partnership
_____ Proprietorship _____ Sub-Chapter "S" corp.
☒ Other _____

Date acquired/Established 1638 State where created: CT
Minority Owned N/A Woman Owned N/A
(Minority as defined in §32-9e sub-section 3 of Connecticut General Statutes)

9. Nature of Business/Organization

A. Industry

_____ Manufacturer _____ Retailer _____ Wholesaler
_____ Service _____ Construction _____ Finance, Insurance or Real Estate
☒ Other (Please describe) Municipality

B. Business Activity (e.g. research and development, production, headquarters, etc.)

C. Type of product or service (e.g. pharmaceuticals, computer software, etc.)

10. Gross Sales/Receipts/Revenues

Total Sales Receipts _____ Approximate % sales in CT _____
Approximate % sales outside of _____ Approximate % sales outside of US _____

11. Ownership and subsidiaries:

Please attach as Exhibit "A" a list of the names, titles, and percent of ownership of all stockholders. If there are more than ten stockholders, list only those with 10% or more ownership. Also list all business organizations, including but not limited to, corporations, partnerships, limited partnerships, sole proprietors, trusts and syndications which are subsidiaries or affiliates of the Applicant along with their address and the nature of their interest or connection. If the Applicant is a subsidiary or affiliate, then list the owning or holding organization and all subsidiaries or affiliates. If there are none, please so indicate.

None

12. Business/Organization History

Please provide a brief description of the business/organization's history and attach. If the organization is non-profit, please state your organization's purpose.

SECTION III**FINANCIAL INFORMATION****13. Unpaid Taxes** (List any below)

	Type	Amount	Past Due	Payment Terms
Federal				
State				
Local				

14. Are there any outstanding, pending or anticipated claims or litigation against your business or organization?

☒ **Yes** (If yes, please attach explanation) ☐ **No**

Claims against the City or its Public School district are file at the Office of Corporation Counsel.

15. Have you ever personally declared bankruptcy or been an officer of a business or organization where bankruptcy has been declared?

☐ **Yes** (If yes, please attach explanation) ☒ **No**

16. Have you ever received prior State financing for this project?

☐ **Yes** ☐ **DECD** ☐ **CDA** ☐ **CII**

☒ **No**

Amount	_____	Date	_____
Program	_____		

17. Environmental Compliance

- A. Has any state, including Connecticut, federal administrative agency or federal court issued any order or entered any judgement to the business/organization concerning a violation of any environmental law? If yes, please include the type of enforcement action, date, jurisdiction, order/case/docket number and description of violation.

There are no enforcement actions at the present time.

- B. Is there any property transfer filing pending with the DEEP? If yes, attach the applicable forms and responsible party's obligations.

198 River Street (Development Agreement available on request).

- C. Is there any Environmental Site Assessment (ESA) conducted by any party on this site, i.e., Phase I, II or III ESA? If yes, please enclose a copy.

At time of initial construction of the Floyd Little Athletic Center, it is presumed a Phase 1 was completed, but none at this time.

18. OSHA Compliance

Do you have any outstanding orders from the federal Occupational Safety and Health Administration? If yes, please describe on an additional sheet and give the name, address and telephone number of the individual handling your case.

There are no outstanding orders from OSHA at either Hillhouse or the Floyd Little Fieldhouse at this time.

19. Employment (Full-time employment is a minimum of 35 hours per week as reported to the Department of Labor)

Present Employment		Projected Employment by end of two years		Projected Employment by end of five years	
Full time:	0	Full time		Full time	
Part time:	0	Part time:	0	Part time:	0
Total:	0	Total:		Total:	

Of the present employment listed above, how many would be lost if the State did not provide the proposed funding?

There is no forecast change to employment levels.

SECTION IV

PROJECT INFORMATION

20. Required Documents (Please refer to the instruction page)

- A. Business/Strategic Plan
- B. Financial statements of the Business/Organization (includes notes and projection)
- C. Cash Flow Summary for prior year
- D. Payroll, Sales, Corporate Taxes Paid to CT (past 3 years, projected for 5 years)
- E. Personal financial statement(s) (owners of 10% or more of company)
- F. Schedule of related affiliated companies
- G. Information regarding a business acquisition

21. Project Narrative

Please attach a brief project description including use of funds and complete the Project Plan and Budget included with this package.

See Attachment 1.

22. Assistance Requested

Amount of financial assistance \$8.8M
Services Requested: N/A

23. Conventional Financing

Please describe on an additional sheet what steps, if any, you have taken to obtain financing from conventional sources.

24. Security /Collateral for DECD State Financial Assistance (check appropriate):

 Real Property Corporate Guarantee Machinery and Equipment
 Personal Guarantee ☒ Not Required Other

25. Public Disclosure

Will informing the municipality and employee representatives of the proposed request for financial assistance prior to DECD/CDA's final approval be considered a disclosure of confidential or proprietary information or trade secret?

 Yes (If yes, please attach an explanation) ☒ No

Certification by Applicant

It is hereby represented by the undersigned, that to the best of my knowledge and belief no information or data contained in the application, the financial statements or in the attachments are in any way false or incorrect and that no material information has been omitted. The undersigned agrees that banks, credit agencies, the Connecticut Department of Labor, the Connecticut Department of Revenue Services, the Connecticut Department of Environmental Protection, and other references are hereby authorized now, or anytime in the future, to give the Department of Economic and Community Development any and all information in connection with matters referred to in this application, including information concerning the payment of taxes by the applicant. In addition, the undersigned agrees that any funds provided pursuant to this application will be utilized exclusively for the purposes represented in this application, as may be amended. The undersigned understands that the Department of Economic and Community Development's agreement to review this application is in no way a commitment to provide funding. Such a commitment can be provided only following the approval of the application by the Department and the State Bond Commission and the execution of a contract between the applicant and the State of Connecticut. As such, any funds expended by the applicant prior to these approvals will be done so entirely at the risk of the applicant.

Please be sure to include the additional attachments required.

Signature _____ Title _____ Date _____

Return to
CT DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT
505 Hudson Street
Hartford, CT 06106-7106
Phone (860) 270-8170
Fax (860) 270-8135

Attachment 1: Project Narrative

Project Summary: The New Haven Public Schools and the City of New Haven propose a transformative \$8.8M investment at the Floyd Little Athletic Center at Hillhouse High School. With a tradition of excellence in academics and athletics, Hillhouse is one of the oldest and largest comprehensive high schools anywhere in Connecticut and welcomes high school students and student-athletes throughout the calendar year. The capital investment proposal is designed to transform the Floyd Little Athletic Center as a premier destination for youth sports and special events. Recognizing Hillhouse High School's unique position as a community hub, investments will also better serve the surrounding community as we prepare for the school's 165-year anniversary. The scope of work is intended to improve the form and function of the Floyd Little Fieldhouse to a "best in class" destination for athletics and special events. Specific projects include reconstruction of the indoor track surface, updated concessions and common space, a contemporary scoreboard and upgraded life safety systems.

Project Plan & Budget: Urban Act funding is a stand-alone request for specific investments as shown in the project budget below. The grant will be established as a special fund of the City of New Haven and administered by New Haven Public Schools with support from the City's Office of Corporation Counsel and Procurement Departments. Where appropriate, projects will be bundled for time and cost efficiency following State procurement requirements. Cost estimates are subject to refinement pending final design and procurement.

Floyd Little Athletic Center - Capital Improvement Budget				
Unit	Project			Estimated Cost
1 LS	Maintenance vehicle (TRACK FLOOR SCRUBBER)			\$ 31,818
2 LS	Portable Basketball hoops - 2 units			\$ 27,273
1 LS	Sports Equipment- scoreboards, shot clocks, pole-vault/high-jump/shot-put/hurdles/blocks			\$ 142,904
1 LS	Sound system FLAC ALLOWANCE			\$ 90,909
84,410 SF	Fire Alarm System Device Replacement budget FLAC - smoke detectors/duct smoke detectors			\$ 61,984
84,410 SF	Interior Paint - includes exposed roof trusses			\$ 382,553
23 ea	Exterior Door Replacement - 9 double door aluminum doors & 14 HM doors & frames and hardware			\$ 90,316
7,486 SF	Hallway surface - skate & cleat resistant flooring and walk off mats			\$ 184,990
400 SF	Update Concessions - epoxy floor, countertops, FRP wall protection , equipment allowance			\$ 194,545
4,200 SF	Basket Ball floating floor			\$ 159,091
668 SF	Vestibule entry front Rear door, windows and floors - repair/replace glass, 2 single/5 double aluminum doors and hardware			\$ 136,270
1 LS	Jumbotron including allowance for electrical power and connections			\$ 427,659
58,879 SF	New Indoor Track Surface incl carpet tile floor mats to protect surface during non-sport events (approx 16,800 sf)			\$ 1,081,545
1 LS	Ref Room Showers ALLOWANCE			\$ 31,818
1 LS	Build out Room for Washer & Dryer ALLOWANCE			\$ 90,909
84,410 SF	LED Lighting Upgrade FLAC ALLOWANCE			\$ 136,364
3,736 SF	Bathroom Renovations FLAC -floor/wall finishes, 164 new fixtures (toilet/lavatory), toilet partitions and accessories			\$ 608,116
86,410 SF	New Roof FLAC incl. ALLOWANCE to remove and re-set SOLAR PANEL ARRAY			\$ 802,329
217,469 SF	Fire Alarm System Device Replacement budget HILLHOUSE - smoke detectors/duct smoke detectors			\$ 125,968
187,179 SF	Interior Paint			\$ 510,488
40 ea	Exterior Doors Replacement - 2 single/9 double door aluminum doors & 22 HM doors & frames and hardware			\$ 148,818
194 ea	Interior Door Replacement - 6 single/11 double door aluminum doors & 177 HM doors & frames and hardware			\$ 302,545
1 LS	Parking lot and Sidewalks repairs ALLOWANCE			\$ 318,182
1 LS	Renovation to main office allowance			\$ 240,983
26,578 SF	Hallway LVT incl. stairwells and FLAC mezzanine			\$ 604,045
		A&E	5%	\$ 346,621
		Constructor Fee	10%	\$ 693,242
				\$ 7,972,288
		Contingency	10%	\$ 797,229
				\$ 8,769,517
		3/8/2024 - SAY		\$8.8M