



Submission Primary Contact Email: *	ccarbona@newhavenct.gov
	Contact person responsible for future communications related to this application

#### **Overview for Applicants:**

This Development Engagement Process Spring 2024 (DEP Spring 2024) introduces your proposed development to the Department of Housing (DOH) and Connecticut Housing Finance Authority (CHFA) in a non-competitive process for our establishment of a pipeline of viable proposals. It is described further in this Notice. Incomplete submissions or those deemed not meeting the readiness threshold as outline in the published Notice may be declined without additional follow-up. For 4% and/or 9% LIHTC applicants, the Development Engagement meeting may serve as the required preliminary application meeting, subject to CHFA's discretion. Full participation in the process is required under CHFA's Procedures and the Qualified Allocation Plan.

#### **Process:**

**Do Not Attempt** to complete this Preliminary Application without first reviewing all applicable guiding documents including the <u>Notice</u> and <u>Requirements and Instructions</u> and those linked from these particular documents.

Confirmation Email responses may go to your Spam/Junk folder, please add <a href="mailto:noreply@chfa.org">noreply@chfa.org</a> and <a href="mailto:DOH-DevelEngage@ct.gov">DOH-DevelEngage@ct.gov</a> to your list of safe senders.

#### **Development Engagement Meeting:**

DOH and CHFA will follow-up regarding your submission after an initial review and possibly schedule a meeting that may cover:

- Any identity of interest between development team members.
- The completeness of plans and specifications, energy conservation measures and green building options being proposed, renewables (solar), owner-paid utilities, and high-speed broadband access for residents of the proposed development.
- Any environmental concerns related to the site and/or existing building(s).
- Conditions noted on the Planning and Zoning approval, and if so, have they been addressed?
- Will the project be subject to Federal Davis Bacon or State prevailing wage rates?
- Describe the procurement process for each major development team member

<sup>\*</sup> Requirements and Instructions

oosed Development Type			
Preservation *	○ Yes ● No ○ N/A		
New Construction *	Yes    No    N/A		
Funding Options *	9% LIHTC 4% LIHTC DOH Funding CHFA Taxable bonds CHFA Tax exempt bonds CHFA Affordable Housing Fund		
DOH Funding	☐ DOH State ☐ DOH Federal ✓ DOH Homeownership		
	cial resources are made available by DOH at its discretion from either State and/occompanied by requirements associated with the specific funding source.*		
bosed bevelopment information			
Proposed Development Name *	Starr/Winchester Homeownership Project		
Street Address *	136, 139,209, 213, 215, 222, 261, 265 274 Starr Street and 632 Winchester Ave		
City *			
·	New Haven		
State *	New Haven  CT		
State * Zip Code *	СТ		
State *	СТ		
State * Zip Code * Congressional District #	CT 06513		
State * Zip Code *	CT 06513		

Phased Development Proposed?: *	Yes No
Establish a short name code for your project. *	STARRHO  MUST be minimum 6 characters maximum 10. Retain for future use in naming your files.
Has this project been previously submitted for Development Engagement? *	Yes No
	FALL 2023  Previous Submission Round
What month and year do you expect to be ready to deliver a complete Consolidated Application if invited? *	JULY 2024  Month / Year
* Requirements and Instructions	
Applicant Information  Developer or Proposed Applicant Entity  Name *	CITY OF NEW HAVEN
Contact Person *	CATHERINE SCHROETER
Title/Role *	DEPUTY DIRECTOR
Email *	ccarbona@newhavenct.gov
Phone *	203 - 946 - 8274 ### #### ####
	Joint Venture Partner or Sponsor (if any)

Municipality; 28 years of the development and federal, state, local grant administration				
Diversity in housing; Improve affordable homeownership rate; Quality of housing				
Yes No				
Yes No				
Yes No				
○ Yes ● No				
Yes No				
19				
0				
14				
0				

<sup>\*</sup> Requirements and Instructions

Development Proposal		
Development Proposal Narrative *	10 VACANT LOTS into 19 new units; 5 duplex, 4 2-family, 1 single; 2 block radius	
	Please provide only a high-level description of the proposed building(s) and other details can be provided with your Project Narrative exhibit. "See attached" is not a valid response. – limit response to 80 characters	
Jnit Composition		
	ands and units mix by downloading and completing the Unit composition matrix. You must give hort name from page 3, e.g. "Short Name-PJNAR.pdf".	
Click here to download the Unit matrix exhibit		
Estimated Costs		
What is the anticipated approximate total development cost? *	\$ 6,345,000.00	
What is the anticipated approximate total construction cost? *	\$ 6,345,000.00	
What is the approximate total of non- DOH and non-CHFA Sources you have identified? *	\$ 4,000,000.00	
	Previously committed DOH or CHFA development funds, such as Urban Act or HTCC, may be include in this total	
Please indicate the non-DOH and non- CHFA Sources *	ARPA, WINCHESTER THOMPSON SALE PROCEEDS AND LOCAL HOUSING DEVELOPMENT	
Please indicate the date of anticipated or actual commitment *	04-17-2024 MM-dd-yyyy	
Requirements and Instructions		
Site Control		

Site Owned *	Yes  No
Specify deed effective date *	07-15-2015
	MM-dd-yyyy If yes, specify deed effective date
Parcel Size	VARIOUS PARCELS (2500 TO 5,000 SQ FT EA)
	(Specify SF or Acres)
Option/Purchase Contract *	Yes No
Total Cost of Land	0
>50-Year Land Lease/Option *	Yes No
Total Acquisition Price	0
Seller(s)	(including Land)
Sellel (3)	CITY OF NEW HAVEN  This name must be on current recorded deed
State	CT 2- digit State Code
Is there a common ownership interest between the purchaser and seller? *	Yes No
ite	
Is the site zoned for your proposed development? *	Yes No
P	Please include approval with Site Plan exhibit

Does the development have municipal site plan approval? *	Yes No
Located in a Qualified Census Tract (QCT) OR located in a Difficult Development Area (DDA)? *	QCT    DDA    Neither
Located in an Area of Economic Distress OR Located in an Area of Opportunity? *	○ Econ Distress ○ Area Opport ● Neither
Is there a commercial component in the proposed development? *	○ Yes ● No
ls this a brownfield or adaptive re-use development? *	Yes No
Do any adverse siting or environmental site characteristics exist? *	○ Yes ● No
Is the property listed: a) as a Historic Property or b) as a contributing asset in a Historic District? *	<ul><li> a)</li></ul>
Does the development contemplate Historic Tax Credits, either state or federal, as a project source? *	Yes No
If so, provide date of Part One approval or anticipated date.	MM-dd-yyyy
Is the development site located outside of a flood plain? *	Yes    No
On the FEMA Flood Map, does any portion of the property fall within, or does the property line directly abut, any zone other than C or X Unshaded? *	Yes No
* Requirements and Instructions	
Procurement	

Compliance with DOH Procurement guidelines and requirements is required for DOH funding without exception. NO WAIVERS WILL BE PROVIDED.

Provide a brief written description of the procurement process used or to be used for each development team member. Indicate if RFP, RFQ, public advertising, committee, and scoring was or will be utilized.	Project is a design/build via RFQ process with public advertising, selection criteria and scoring will be utilized in accordance with City of New Haven procurement policy.		
Site Environmental			
If the site is located in a flood plain, has DEEP been engaged regarding the need for a Flood Management Certification (FMC)? *	Yes No Not Applicable		
Are there any other DEEP approvals that will be required for federal wetlands, aquifer protection, critical habitat, site remediation, water quality certification?	Yes No Unknown		
Indicate whether each of the following redate of the report	ports have been completed (and will be available for you to provide) and if so, the		
Has the Phase I Environmental Site Assessment been completed?*	Yes No		
	04-29-2022		
	MM-dd-yyyy  If yes, specify date		
Have hazardous materials surveys been completed? *	Yes No		
Lead-based paint testing and reports *	Yes No		
Radon testing and reports*	◯ Yes ● No		
Asbestos materials testing and reports *	Yes No		
Has the CEPA documentation been prepared and sent to DOH.CEPA for a determination? *	Yes No		

Do not include your CEPA intake form with the Preliminary Application. In order for CEPA review and processing to take place, <u>CEPA intake form</u> must be completed and emailed to <u>DOH.CEPA</u> at the completion of 40% Drawings & Specifications. Complete instructions are found in the CEPA intake form.

Federal Requirements	
The following information is required for consideration	of federal funding through the Department of Housing
Has the Applicant reviewed Federal environmental requirements? *	Yes  No  Unknown
Are there any conditions requiring mitigation? *	Yes No Unknown
Does project comply with Section 504 for handicap accessibility? *	Yes No Unknown
Is project construction pricing based on Federal Davis Bacon wages? *	Yes No Unknown
Does this project require any temporary or permanent relocation? *	Yes No
* Requirements and Instructions	
Priorities	
Proposed development location index from Opportunity Map (Link) *	VERY LOW *
Proposed development includes more than 25% units for households earning less than 30% AMI? *	Yes ● No
Is proposed development a component of a concerted community revitalization plan? *	Yes No
Is proposed development proximate to transport and amenities? *	Yes  No
Does proposed development promote equity and integration? *	Yes  No

* Requirements and Instructions	
Financial	
9% LIHTC Only: Does the development proposal adhere to less than\$30,000 per unit for tax credits and less than 20% of the population component? *	Yes No Not Sure
Excluding CHFA and DOH funding and LIHTCs, are all required sources of funds awarded or otherwise secured? *	Yes No Not Sure  for LIHTC, Yes or No only, Not Sure is invalid
Does the proposed development proforma have balanced sources and uses? *	Yes No Not Sure  for LIHTC, Yes or No only, Not Sure is invalid
<u>LIHTC Only:</u> If considering tax credits, plan to use Average Income Set-Aside? *	Yes No Not Sure
<u>LIHTC Only:</u> If considering tax credits, plan to use a Hybrid Structure? *	Yes No Not Sure
Is the development seeking soft funding such as from AHP or from a local jurisdiction, or has or is pursuing a PILOT? *	Yes No Not Sure for LIHTC, Yes or No only, Not Sure is invalid
Is the development seeking soft funding from DOH? *	Yes No Not Sure for LIHTC, Yes or No only, Not Sure is invalid
* Requirements and Instructions	
Certification	
If a LIHTC application is considered, will the Applicant be able to meet the Basic Threshold Requirements outlined in the QAP for the proposed development? *	Yes No N/A

I certify that the proposed recipient
entity and the proposed development
activity are eligible under the provisions
and definitions of Connecticut General
Statutes Section 8-37pp, the Affordable
Housing Program. *

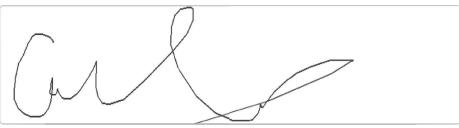
Yes	$\bigcirc$	Ν

### **Applicant Certification \***

The applicant certifies that this Preliminary Application is complete and accurate, to the best of their knowledge, and that there are no material misrepresentations. The applicant further acknowledges that this Preliminary Application is made for informational purposes only and to assist CHFA and the DOH in the determination of the readiness of the proposed development and its potential to further CHFA and State policy goals. Applicant further acknowledges that this Preliminary Application is not an application for funding and that submission of this Preliminary Application and/or participation in the Pre-application conference does not constitute a commitment of any DOH or CHFA funding, tax credit award or other assistance.

The applicant acknowledges that they have read the QAP and related attached documents and are familiar with CHFA and DOH Policies and Procedures. The applicant further acknowledges that each program NOFA and fund offerings come with their own requirements.





Draw using your mouse (or touch screen) to create a digital representation of your actual signature

04-17-2024

MM-dd-yyyy

Date

Catherine Carbonaro-Schroeter, Deputy Director LCI

Name & Title \*

### **Exhibit Uploads**

DOH requests that the following exhibits, if available, be included to enhance the submission's initial review.

The filename of uploads is very important for our proper association of your exhibits to your project, please see below.

Please upload items with names as follows:

<development short name code>

<hyphen>

<municipality where your project is located>

<hyphen>

<file acronym i.e. the four or five letters in parenthesis next to upload file option below>

For example: Mill Homes-Woodbridge-STCTR.pdf

Short name code established for your project: STARRHO

PROJECT NARRATIVE (PJNAR) according to the requirements of the Consolidated Application	STARRHO.CITY_OF_NEW_HAVEN.PJNAR.pdf
SITE CONTROL (STCTR) document showing evidence of status	STARRHO.CITYOFNEWHAVEN.STCTR.pdf
SITE PLAN (STPLN) approved or proposed to your town	STARRHO.CITYOFNEWHAVEN.STPLAN.pdf
<b>UNIT MATRIX (UNMTX)</b> if preliminary information is known	STARRHO.CITYOFNEWHAVEN.UNMTX.pdf
<b>SOURCES AND USES (SRCUS)</b> for the project as available at this time	STARRHO.CITYOFNEWHAVEN.SRCUS.pdf
<b>PROCUREMENT PLAN (PRPLN)</b> for all major project team participants if available	STARRHO.CITYOFNEWHAVEN.PRPLN.pdf
<b>ZONING APPROVAL (ZONE)</b> include all approval conditions as applicable	STARRHO.CITYOFNEWHAVEN.ZONE.pdf

<sup>\*</sup> Requirements and Instructions

<sup>\*</sup> Email responses confirming receipt of your submission may go to your Spam/Junk/Other folder, please check there.

### STARR-WINCHESTER HOMEOWNERSHIP PROJECT

#### **NARRATIVE**

The City of New Haven's Livable City Initiative is serving as owner/developer of the Starr–Winchester Homeownership Project ("Project"). The Project consists of the construction of fifteen (15) homeownership units, (3) rental units and one (1) single family on Starr Street between Winchester Avenue and Shelton Ave and along with Winchester Avenue between Starr Street and Ivy Street in the Newhallville neighborhood. The Project encompasses the redevelopment of ten (11) city owned vacant lots for the construction of (5) duplex style homeownership, (4) two-family and (1) single family new structures all available for sale to homeowners. The total Redevelopment Project will encompass three (3) city blocks and a total of nineteen (19) net new units to include fifteen (15) homeownership units and four (4) rental units.

New Haven's Livable City Initiative is concentrating its efforts to stabilize some of its most distressed neighborhoods through enhanced homeownership strategies that include new construction and rehabilitation of blighted structures. Although work is underway on the periphery of the city, many of our urban neighborhoods continue to suffer from speculative development that has virtually left our neighborhoods void of homeowners that have a vested interest in building equity and community.

The Project will provide significant impact focusing on the remaining blocks which are currently comprised of properties that have a majority homeownership occupancy and the availability of land for acquisition to transform the block into 90-100% homeowner occupancy. The Project will provide homeownership and/or rentals for families with a mixture of income for 300% FPG (federal poverty guidance) and up to 100% AMI (HUD Area Media Income). Newhallville saw very little new construction for residential homeownership units until the Thompson-Winchester Homeownership Development in 2020 wherein the State of Connecticut Department of Housing and City of New Haven partnered to see this project to completion. The neighborhood's population of approximately 8,400 includes 50% of the population as families that remain above the median for many of New Haven's neighborhood. Most of the housing stock in the neighborhood is more than 75 years old with no net new structures added to the current inventory. The market for new construction multifamily structures in this neighborhood is ever-growing and remains a constant for new and first-time homebuyers. The opportunity for rental income is appealing to many of the city's buyers because of the strong rental market throughout the City. This project will seek to stabilize a price point of approximately \$180,000-\$250,000 based on income and consistent with New Haven's affordable homeownership market.

The Newhallville neighborhood is bordered on the north by the town of Hamden on the east by Winchester Avenue, on the south by Munson Street, on the southwest by Crescent Street, and on the northwest by Fournier Street. The main routes are Dixwell Avenue, Shelton Avenue, Winchester Avenue, and Bassett Street. Once home to Winchester Arms Manufacturing as well as small and light industrial industries, the neighborhood has become entirely residential. The historic Farmington Canal runs through the middle of the neighborhood.

This Project will leverage more than \$100M in private investment, leveraged by state and local funding. Newhallville has undergone an economic boom with the development of Science Park Development Park, development of Higher One, Winstanley Enterprises, ConnCorp and Yale University along with Forest City's transforming an abandoned rifle factory that was once the economic engine of Newhallville into a \$54 million historic rehab project called Winchester Lofts.

This Project will be centrally located on transportation routes for easy access and are on or within walking distance to the main thoroughfare Winchester Ave (attached bus route map). Additionally, the Project is within a block of Science Park that is fast growing as the economic hub for Newhallville. New Haven has been a pioneer of safe street design in Connecticut with New Haven Complete Streets design manual and its commitment to implementing these design standards where possible.

### **Properties**

Address	Style	Туре	H/O AMI	Rental AMI
136 Starr Street	Up and Down			
- Unit A		1	80%	
- Unit B		1		80%
209 Starr St	Duplex			
- Unit A		1	80%	
- Unit B		1	100%	
213 Starr St	Duplex			
- Unit A		1	300%	
- Unit B		1	100%	
215 Starr St	Duplex			
- Unit A		1	80%	
- Unit B		1	100%	
261 Starr St	Up and Down			
- Unit A		1	100%	
- Unit B		1		65%
265 Starr St	Up and Down			
- Unit A		1	300%	
- Unit B		1		100%
632 Winchester ave	Single	1	100%	
139 Starr Street	Duplex			
- Unit A		1	80%	
- Unit B		1	300%	
274 Starr Street	Duplex			
- Unit A		1	80%	
- Unit B		1	300%	
222 Starr St - Need 15 Ft Big Garden	Up and Down			
- Unit A		1	80%	
- Unit B		1		65%

Mix	Units	н/о	Rental
TOTALS	19	15	4
Units 80% AMI Under		6	1
Units 300% AMI Under/65% Under		4	2
Units 100% AMI under		5	1

Duplex	5
2 Family	4
Single	1



# STARR WINCHESTER HOMEOWNERSHIP



Live traffic Fast Slow

- Thompson Winchester Homeownership 100% sold H/O
- Starr Winchester Homeownership

### **DOH-CHFA DEVELOPMENT ENGAGEMENT PROCESS**

### UNIT MIX MATRIX EXHIBIT

PROJECT NAME PROJECT MUNICIPALITY PROJECT SPONSOR RESPONDENT EMAIL Date: 17-Apr-24

STARR WINCHESTER HOMEOWNERSHIP
CITY OF NEW HAVEN
CITY OF NEW HAVEN
CCARBONA@NEWHAVENCT.GOV

Unit Count by Size and Area Median Income (AMI) Band							
AMI Band	Studio or 0 Bedroom	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom	TOTAL	
0-30% AMI						0	enter counts
31-50% AMI						0	enter counts
51-60% AMI						0	enter counts
61-80% AMI			3	10		13	enter counts
Unrestricted or Market Rate			1	5		6	enter counts
Affordable Total	0	0	3	10	0	13	formula calculated
Project Total	0	0	4	15	0	19	formula calculated

10/1/2024

					10/1/2024			
Account	Line Item	COST	CONH	DOH	New Balance			
	Acquisition and Site Development							
1410	Land Cost		•		0.00			
	Building Cost-Acq - DAP				0.00			
	Surveys & Maps	45,000.00	45,000.00	0.00	45,000.00			
	Title Exam/Insur.				0.00			
1414	Recording Fees				0.00			
	Closing Costs				0.00			
	Legal Costs/Acq.				0.00			
1417	Site Improvements				0.00			
	Unusual Site Imp.				0.00			
1419	Utility Hookups				0.00			
1420	Demolition				0.00			
1421	Envir. Remediation.				0.00			
1422	Site Bond Premium				0.00			
	Acq. Prop. Oper. Exp.				0.00			
1424	Gross Site Income				0.00			
1425	Land Use Approvals				0.00			
	Total Acq. & Site Dev.	45,000.00	45,000.00	0.00	45,000.00			
		hitectural and Engine			•			
1430	Design Consultant	120,000.00			120,000.00			
	Design Consult. – Admin.				0.00			
	Design Consult. – Eng.	100,000.00	100,000.00		100,000.00			
	HERS Rater	,	,		0.00			
1434	Blueprints & Supplies				0.00			
1435	Borings & Test Pits				0.00			
	Environmental Studies	10,000.00	10,000.00		10,000.00			
1437	Life Cycle Cost Analysis				0.00			
	Clerk of the Works				0.00			
1439	Concrete Testing				0.00			
	Traffic Study				0.00			
	Total Arch. & Eng.	230,000.00	230,000.00		230,000.00			
	-	General Contract						
	Site Improvements (in GC)				0.00			
1441	Main Buildings – New		3,831,000.00	2,990,000.00	6,821,000.00			
	Main Buildings – Rehab.	0.00	0.00		0.00			
1443	Other Buildings				0.00			
	Demolition (if part of GC)				0.00			
	General Requirements	200,000.00			200,000.00			
	Builder's Overhead	100,000.00	100,000.00		100,000.00			
1447	Builder's Profit				0.00			
	Bond Premium	250,000.00	250,000.00		250,000.00			
1449	Building Permits				0.00			
	Other Permits				0.00			
1451	Hard Cost Contingency				0.00			
1452	Construction Cost Estimating				0.00			
	Total General Contract	7,371,000.00	4,381,000.00	2,990,000.00	7,371,000.00			

Continued on next page.

## **CITY OF NEW HAVEN**

# Request for Payment/Expenditure Verification

				DOH		
Account	Line Item	COST	CONH	рон	New Balance	
Account		urnishings and Equip		5011	New Dalance	
1460	Office/Maintenance Equip.		illelit		0.00	
	Furniture & Appliances				0.00	
1101	Tarmare a repliance				0.00	
	Total Furnishings & Equip.	0.00	0.00		0.00	
	)	nancing and Interim (			0.00	
1470	DOH Interest				0.00	
	Property Taxes				0.00	
	Property Insurance				0.00	
	Const. Loan Commit. Fee				0.00	
1474	Permanent Loan Orig. Fee				0.00	
	CHFA Tax Credit Fee				0.00	
1476	Other Fin. Fees and Interest				0.00	
	Total Financing & Interim	0.00	0.00		0.00	
	(	Other Development Co	osts			
	Sponsor/Devel. Legal				0.00	
	DOH Legal	10,000.00		10,000.00	10,000.00	
	Accounting				0.00	
	Audit				0.00	
	Cost Certification				0.00	
	Appraisals				0.00	
	Market Analysis				0.00	
	Res. Training/Buy. Ed.				0.00	
	Lease Up & Marketing				0.00	
	Relocation				0.00	
	Contractual Services				0.00	
	Developer's Fee				0.00	
	Operating Deficit Reserv.				0.00	
1493	Other Req. Cap. Reserves				0.00	
	Total Other Dev. Costs	10,000.00	0.00	10,000.00	10,000.00	
Soft Cost Contingency						
1500	ADMIN	0.00	0.00	0.00	0.00	
	Total Soft Cost Contingency	0.00	0.00	0.00	0.00	
		7 050 000 00	4.050.000.00	2 000 000 001	7.050.000.00	
	Total Project Costs	7,656,000.00	4,656,000.00	3,000,000.00	7,656,000.00	