



CITY OF NEW HAVEN
OFFICE OF THE MAYOR

165 Church Street, New Haven, CT. 06510

Toni N. Harp
Mayor

September 27, 2014

The Honorable Board of Alder's
City of New Haven
165 Church Street
New Haven, CT 06510

Dear Honorable Board:

Please find attached an updated budgetary report for the year ended June 30, 2014. The report shall be filed in the Office of the City Clerk where it shall be available for public inspection. It will also be made available on the City's website.

Thank you.

Very truly yours,


Toni N. Harp
Mayor

Cc: Financial Review and Audit Commission

CHECK LIST FOR ALDERMANIC SUBMISSIONS

<input checked="" type="checkbox"/>	Cover Letter
<input checked="" type="checkbox"/>	Resolutions/ Orders/ Ordinances
<input checked="" type="checkbox"/>	Prior Notification Form
<input checked="" type="checkbox"/>	Fiscal Impact Statement - Should include comprehensive budget
<input type="checkbox"/>	Supporting Documentation
<input type="checkbox"/>	Disk or E-mailed Cover letter & Order

IN ADDITION IF A GRANT:

<input type="checkbox"/>	Notice of Intent
<input type="checkbox"/>	Grant Summary
<input type="checkbox"/>	Executive Summary (not longer than 5 pages without an explanation)

Date Submitted: October 1, 2014

Meeting Submitted For: October 22, 2014

Regular or Suspension Agenda: _____

Submitted By: Joe Clerkin, Budget Director

Title of Legislation:

ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE
CHARTER AND SECTION 2-190 OF THE CODE OF GENERAL
ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES FOR
THE FISCAL YEAR ENDING 6-30-14

Comments: _____

Year-end surplus of \$4,743,600 comprised of the following:

1) Mid-Year Rainy Day fund transfer arising from 2013 Series B Re-funding \$4,103,249

2) Operating Surplus of \$640,351.

Coordinator's Signature: 

Controller's Signature (if grant): _____

Mayor's Office Signature: _____

ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE
CHARTER AND SECTION 2-190 OF THE CODE OF GENERAL
ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES
FOR THE FISCAL YEAR ENDING 6-30-14

WHEREAS, Section 60 of the Charter and Section 2-190 of the Code of Ordinances requires the approval of the Board of Aldermen for the transfer of funds within the General Fund budget, and,

WHEREAS, at the conclusion of Fiscal Year 2013-14 there exists several line items in several departments which have negative balances requiring a budget transfer, and,

WHEREAS, Transfer #14-999 has been prepared to eliminate all such negative line item balances,

NOW, THEREFORE, BE IT ORDAINED by the New Haven Board of Aldermen that Transfer #14-999 be approved in order to eliminate FY 13-14 negative line item balances.

#14-999

FROM		TO	
<u>DEPT</u>	<u>ACCOUNT BALANCE</u>	<u>DEPT</u>	<u>ACCOUNT BALANCE</u>
CAO	172,729	LIBRARY	(138,256)
POLICE	1,781,949	REGISTRAR OF VOTERS	(59,480)
WORKERS COMP	1,412,108	FIRE	(1,881,657)
UNEMPLOYMENT	7,801	FAIR RENT	(2,774)
		PUBLIC WORKS	(350,669)
		ENGINEERING	(147,892)
		DEVELOPMENT OPER. CONT.	(16,036)
		COMMISSION ON EQUAL OPP	(3,558)
		FICA/MEDICARE	(250,656)
		CITY MATCH EXEC/CONFIDENTIAL	(26,002)
		LABOR SAVINGS	(497,605)
TOTAL	3,374,587		(3,374,587)



CITY OF NEW HAVEN
OFFICE OF MANAGEMENT & BUDGET

165 Church Street, New Haven, CT 06510

Toni N. Harp

Mayor

Joe Clerklin

Budget Director

City Of New Haven Transfer Form
Office of Management and Budget
Michael Gormany, Management and Policy Analyst
Phone (203) 946-6413 Fax (203) 946-7924
mgormany@newhavenct.net

FROM

TO

City Of New Haven Transfer Form								Agency Name		Management and Budget	
Office of Management and Budget Michael Gormany, Management and Policy Analyst Phone (203) 946-6413 Fax (203) 946-7924 mgormany@newhavenct.net								Date Prepared		September 29, 2014	
								Transfer Number		14-999	
								Fiscal Year		2014	
								Quarter		4	
FROM						TO					
Line No	Organization Name	Organization Code	Object Code Name	Object code	Transfer Amount	Organization Name	Organization Code	Object Code Name	Object code	Transfer Amount	
1	Various Departments	See attached	Expenses		3,374,586	Various Departments	See attached	Expenses		3,374,587	
2											
3											
4											
5											
6											
7											
8											
9											
Total					3,374,587	Total					3,374,587

Transfer Explanation: ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE CHARTER AND SECTION 2-190 OF THE CODE OF GENERAL ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES FOR THE FISCAL YEAR ENDING 6-30-14

Toni N. Harp
Mayor or Designee

Joe Clerklin
Signature of Department Head

Joe Clerklin
Signature of Coordinator

PRIOR NOTIFICATION FORM

NOTICE OF MATTER TO BE SUBMITTED TO THE BOARD OF ALDER'S

TO (list applicable Alder):

Entire Board

DATE: **October 1, 2014**

FROM: Department
Person

Ofc of Management & Budget

Joe Clerkin

Telephone 946-7922

This is to inform you that the following matter affecting your ward(s) will be submitted to the Board of Aldermen.

ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE
CHARTER AND SECTION 2-190 OF THE CODE OF GENERAL
ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES
FOR THE FISCAL YEAR ENDING 6-30-14

Check one if this an appointment to a commission

☐ Democrat

☐ Republican

☐ Unaffiliated/Independent/Other _____

INSTRUCTIONS TO DEPARTMENTS

1. Departments are responsible for sending this form to the alder(s) affected by the item.
2. This form must be sent (or delivered) directly to the alder(s) **before** it is submitted to the Legislative Services Office for the Board of Alder agenda.
3. The date entry must be completed with the date this form was sent the alder(s).
4. Copies to: alderperson(s); sponsoring department; attached to submission to Board of Alder's.

FISCAL IMPACT STATEMENT

DATE: October 1, 2014
FROM (Dept.): Ofc. Of Management & Budget
CONTACT: Joe Clerkin PHONE: 203-946-7922

SUBMISSION ITEM (Title of Legislation):

**ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE
CHARTER AND SECTION 2-190 OF THE OF CODE OF GENERAL
ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES FOR
THE FISCAL YEAR ENDING 6-30-14.**

List Cost: Describe in as much detail as possible both personnel and non-personnel costs; general, capital or special funds; and source of funds currently budgeted for this purpose.

	CAPITAL/LINE ITEM/DEPT/ACT/OBJ CODE		
	GENERAL	SPECIAL	BOND
<hr/>			
A. Personnel			
1. Initial start up			
2. One-time			
3. Annual	See Attached		
B. Non-personnel			
1. Initial start up			
2. One-time			
3. Annual	See Attached		

List Revenues: Will this item result in any revenues for the City? If Yes, please list amount and type.

NO ☒
YES ☐

1. One-time
2. Annual

City of New Haven Fiscal Year 2013-2014



*Pre-Audit / Close Out Report
Fiscal Year Ending June 30, 2014
Submitted September 29, 2014*



CITY OF NEW HAVEN
OFFICE OF THE MAYOR

165 Church Street, New Haven, CT. 06510

Toni N. Harp
Mayor

September 27, 2014

The Honorable Board of Alder's
City of New Haven
165 Church Street
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Dear Honorable Board:

Please find attached an updated budgetary report for the year ended June 30, 2014. The report shall be filed in the Office of the City Clerk where it shall be available for public inspection. It will also be made available on the City's website.

Thank you.

Very truly yours,


Toni N. Harp
Mayor

Cc: Financial Review and Audit Commission

City of New Haven
Pre-Audit & Close out Transfer
For the Year Ending June 30, 2014

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UPDATED JUNE 30, 2014 GENERAL FUND FINANCIAL REPORT

The City has a pre-audit General Fund operating surplus of \$4,473,600, of which \$ 4,103,249 resulted from the Rainy Day transfer approved on 01-07-14 and a net operating result of \$ 640,351 for the year ending June 30, 2014. The results reported here will be subject to review during the annual audit conducted by the independent auditing Firm of McGladrey LLP.

FY 13-14 - GF Expenditure and Revenue Extract Pre-Audit

	BOA Approved	MUNIS 9-29-13	+/-
EXPENDITURES*	497,454,609	490,773,186	6,681,423
REVENUE	497,454,609	495,516,786	(1,937,823)
		4,743,600	4,743,600

PROJECTED FUND BALANCE ANALYSIS - PRE-AUDIT

A. FUND BALANCE 6-30-13 - per audit page 14	
1) TOTAL FUND BALANCE 6-30-13	(4,721,555)
2) FY 14 RAINY DAY REPLENISHMENT*	4,103,249
3) OPERATING SURPLUS	640,351
FUND BALANCE 6-30-14	22,045

* FY 14 Rainy Day Replenishment

On September 27, 2013 the City submitted a FY 13-14 Budget Transfer of \$4,103,249 from Debt Service (Dept. 600) to the Rainy Day Replenishment Fund (Dept. 601). The funds are available through approval of the Bond Sale Committee on August 29, 2013 of the re-funding of debt issued in 2003 and 2005. The Board of Alders approved the transfer on January 07, 2014 (OR-2013-0037).

Note 1:

As part of the fund balance calculation at the end of FY 12-13, the City's independent auditors designated \$4m as "non spendable" because of the accumulated negative fund balance in the Self Insurance fund (see page 14 of that report). Through the re-funding Series B 2014 re-funding efforts in August 2014 the City will propose to designate a portion of the cash flow savings to completely eliminate the negative fund balance in both the Self Insurance and Medical Self Insurance funds. It is the City's expectation that such a proposal, if approved, by the BOA will be viewed positively by the auditors thus leading to their removal of the \$4m "non spendable" designation.

GENERAL FUND EXPENDITURE PROJECTION REPORT
FISCAL YEAR 2012-13

9-29-13- Pre-Audit

DEPARTMENT	{1} FY 2012-13 Budget	{2} FY 2012-13 Revised	{3} Expended	{4} (Deficit)/Surplus {1} - {2}
111 - LEGISLATIVE SERVICES	812,353	812,353	751,060	61,293
131 - MAYOR'S OFFICE	894,770	894,770	894,770	-
132 - CAO	1,672,939	1,672,939	1,500,210	172,729
133 - CORPORATION COUNSEL	1,812,304	1,812,304	1,695,454	116,850
137 - FINANCE	10,985,295	10,985,295	10,522,942	462,353
139 - ASSESSOR'S OFFICE	861,910	861,910	812,620	49,290
152 - LIBRARY	3,606,879	3,606,879	3,745,135	(138,256)
160 - PARKS & RECREATION	4,817,998	4,817,998	4,814,140	3,858
161 - CITY/TOWN CLERK	476,269	476,269	420,626	55,643
162 - REGISTRAR OF VOTERS	562,684	562,684	622,164	(59,480)
200 - PUBLIC SAFETY COMMUNICATIONS	3,336,240	3,336,240	3,196,841	139,399
201 - POLICE	38,328,550	38,328,550	36,546,601	1,781,949
202 - FIRE	30,687,248	30,687,248	32,568,905	(1,881,657)
301 - HEALTH	3,176,306	3,176,306	3,067,580	108,726
302- FAIR RENT	63,368	63,368	66,142	(2,774)
303 - ELDERLY SERVICES	671,562	671,562	668,097	3,465
304 - YOUTH SERVICES	337,405	337,405	318,188	19,217
305 - SERVICES FOR DISABILITIES	87,031	87,031	83,458	3,573
308 - COMMUNITY SERVICES ADMIN	1,993,712	1,993,712	1,959,822	33,890
404 - VARIOUS ORGANIZATIONS	388,295	388,295	388,295	-
405 - NON-PUBLIC TRANSPORTATION	465,000	465,000	408,926	56,074
501 - PUBLIC WORKS	11,649,892	11,649,892	12,000,561	(350,669)
502 - ENGINEERING	3,113,455	3,113,455	3,261,347	(147,892)
600 - DEBT SERVICE	66,793,227	62,689,978	61,650,674	1,039,304
601 - RAINY DAY	0	4,103,249	0	4,103,249
701 - DEV OPERATING CONT. - SHUBERT	250,000	250,000	250,000	-
701 - DEV OPERATING CONT. - TWEED	325,000	325,000	325,000	-
701 - DEV OPERATING CONT. - C MED	326,600	326,600	342,636	(16,036)
701 - DEV OPERATING CONT. - PILOT PEN	100,000	100,000	100,000	-
701 - DEV OPERATING CONT. - NEW HAVEN WORKS	50,000	50,000	49,991	9
702- CITY PLAN	497,563	497,563	445,008	52,555
704 - TRAFFIC & PARKING	2,478,045	2,478,045	2,370,941	107,104
705 - EQUAL OPPORTUNITIES	100,945	100,945	104,503	(3,558)
721 - OBIE	906,420	906,420	860,631	45,789
724 -ECONOMIC DEVELOPMENT	1,318,372	1,318,372	1,303,741	14,631
747 - LIVABLE CITY INITIATIVE	683,671	683,671	645,562	38,109
802 - PENSION - CITY EMPLOYEES	16,870,000	16,870,000	16,870,000	-
802- PENSION - POLICE & FIRE	24,358,055	24,358,055	24,358,055	-
802- FICA & MEDICARE	4,300,000	4,300,000	4,550,656	(250,656)
802 - CITY MATCH EXEC/CONFIDENTIAL	150,000	150,000	176,002	(26,002)
804 - SELF INSURANCE - CLAIMS	2,400,000	2,400,000	2,400,000	-
804-SELF INSURANCE - POLICIES	2,301,000	2,301,000	2,301,000	1
805- 851 HEALTH BENEFITS	64,074,348	64,074,348	64,074,348	-
805-851 LIFE INSURANCE	730,000	730,000	730,000	-
805-853 WORKERS COMP	8,310,206	8,310,206	6,898,098	1,412,108
805-853 WORKERS COMP OTHER CNTRL	1,300,000	1,300,000	1,210,693	89,307
805-855 PERFECT ATTENDANCE	18,000	18,000	16,134	1,866
805-855 LONGEVITY	650,000	650,000	647,379	2,621
805-50150 - UNEMPLOYMENT	400,000	400,000	389,879	10,121
805-855 - RESERVE LUMP SUM	225,000	225,000	174,338	50,662
805 - GASB 45	15,000	15,000	15,000	-
900 - EDUCATION	177,219,297	177,219,297	177,199,032	20,265
402- VACANCY SAVINGS	(497,605)	(497,605)	-	(497,605)
TOTAL	497,454,609	497,454,609	490,773,186	6,681,414

FY 2013-14
PRE-AUDIT EXPENDITURES

BY MAJOR GOVERNMENT CATEGORY - PRE-CLOSE OUT TRANSFER & PRE-AUDIT

	ORIGINAL BUDGET	REVISED BUDGET	PRE-AUDIT	+/-
GENERAL GOVERNMENT	21,685,403	21,685,403	20,964,983	720,420
PARKS & RECREATION	4,817,998	4,817,998	4,814,140	3,858
POLICE, FIRE & PUBLIC SAFETY COMM	72,352,038	72,352,038	72,312,347	39,691
COMMUNITY SERVICES	6,329,384	6,329,384	6,163,287	166,097
PUBLIC WORKS & ENGINEERING	14,763,347	14,763,347	15,261,908	(498,561)
ECONOMIC DEVELOPMENT	7,036,616	7,036,616	6,798,014	238,602
EMPLOYEE BENEFITS	126,101,609	126,101,609	124,811,582	1,290,027
EDUCATION	177,219,297	177,219,297	177,199,032	20,265
DEBT SERVICE	66,793,227	62,689,978	61,650,674	5,142,553
RAINY DAY	-	4,103,249	-	-
LABOR SAVINGS	(497,605)	(497,605)	-	(497,605)
OTHER DEPARTMENTS	853,295	853,295	797,221	56,074
	497,454,609	497,454,609	490,773,186	6,681,423

FY 2013-14
PRE-AUDIT EXPENDITURES

BY MAJOR OBJECT CODE - PRE-CLOSE OUT TRANSFER & PRE-AUDIT

	ORIGINAL BUDGET	REVISED BUDGET	PRE-AUDIT	+/-
PERSONNEL (NON OVERTIME)	85,961,755	85,487,075	81,008,735	4,478,340
OVERTIME - NON BOE	9,832,650	11,330,341	16,054,430	(4,724,089)
POLICE SEQUESTRATION	1,491,391	-	-	-
PENSION - FICA/MDCIARE	45,678,055	45,678,055	45,954,714	(276,659)
UTILITY	6,086,245	6,052,045	6,283,300	(231,255)
ALLOWANCE & TRAVEL	70,862	77,282	47,101	30,181
EQUIPMENT	360,436	360,436	327,602	32,834
MATERIALS & SUPPLIES	4,032,918	4,039,192	3,709,051	330,141
CONTRACTUAL SERVICES	23,370,824	23,860,710	23,036,071	824,638
DEBT SERVICE	66,793,227	62,689,978	61,650,674	1,039,304
RAINY DAY REPLENISHMENT	-	4,103,249	-	4,103,249
LEGAL CLAIMS	2,400,000	2,400,000	2,400,000	-
HEALTH BENEFITS	64,074,348	64,074,348	64,074,348	-
WORKERS COMP	9,610,206	9,610,206	8,108,791	1,501,415
OTHER BENEFITS	970,000	970,000	919,338	50,662
LABOR SAVINGS	(497,605)	(497,605)	-	(497,605)
BOARD OF EDUCATION	177,219,297	177,219,297	177,199,032	20,265
	497,454,609	497,454,609	490,773,186	6,681,423

**FY 13-14 REVENUE SUMMARY
PRE-AUDIT & PRE CLOSE OUT TRANSFER**

	FY 13-14 BUDGET	PRE-AUDIT	+/-
<u>CITY SOURCES</u>			
PROPERTY TAXES	245,566,571	243,999,342	(1,567,229)
LICENSES, PERMITS & FEES	16,777,500	15,986,621	(790,879)
INVESTMENT INCOME	40,000	(38,682)	(78,682)
RENTS & FINES	5,791,021	4,565,974	(1,225,047)
PAYMENTS IN LIEUS OF TAXES	2,051,500	1,819,432	(232,068)
OTHER TAXES & ASSESSMENTS	4,179,872	4,454,269	274,397
VOLUNTARY PAYMENTS	8,951,405	8,341,236	(610,169)
MISCELLANEOUS & OTHER PAYMENTS	6,127,488	8,531,085	2,403,597
BOND PREMIUM PROCEEDS	-	1,749,713	1,749,713
CITY SOURCES SUB-TOTAL	289,485,357	289,408,991	(76,366)
<u>STATE SOURCES</u>			
STATE GRANTS FOR EDUCATION	148,874,796	148,821,799	(52,997)
STATE GRANTS & PILOTS	59,094,456	57,285,996	(1,808,460)
STATE SOURCES SUB-TOTAL	207,969,252	206,107,796	(1,861,456)
PRE-AUDIT GRAND TOTAL	497,454,609	495,516,786	(1,937,823)

GF REVENUE BUDGET - FY 13-14 BOA APPROVED

DETAIL OF GENERAL FUND REVENUE	FY 13-14 BOA APPROVED	FY 13-14 RECOGNIZED	
Current City Taxes:			
Real Estate	201,808,963	201,729,889	(79,074)
Personal Property	22,511,887	22,511,887	-
Motor Vehicle	13,241,107	13,241,107	-
Supplemental Motor Vehicle	2,035,000	2,035,000	-
Current Interest	1,100,000	1,059,051	(40,949)
	240,696,957	240,576,934	(120,023)
Tax Collection Initiatives:			
Property Tax Initiatives	2,444,614	1,471,995	(972,619)
	2,444,614	1,471,995	(972,619)
Delinquent City Taxes:			
Real & Personal Property	1,700,000	925,672	(774,328)
Interest and Penalties	725,000	1,024,741	299,741
	2,425,000	1,950,413	(474,587)
I. TOTAL PROPERTY TAXES	245,566,571	243,999,342	(1,567,229)
State Grants for Education:			
Education Legally Blind	120,000	-	(120,000)
Health Svc-Non-Public Schools	60,000	46,989	(13,011)
	148,874,796	148,821,799	(52,997)
State Grants:			
School Transportation	2,095,366	2,427,010	331,644
School Transportation - EXCESS COST GRANT	1,365,588	-	(1,365,588)
PILOT: State Property	4,744,727	5,070,786	326,059
PILOT: Colleges & Hospitals	38,569,007	38,404,315	(164,692)
Distressed Cities Exemption	87,000	231,722	144,722
Tax Relief for the Elderly-Freeze	10,000	4,000	(6,000)
Homeowners Tax Relief-Elderly	437,000	434,350	(2,650)
Reims.-Low Income Veterans	54,000	52,427	(1,573)
Reimb. - Disabled	12,000	11,231	(769)
Low Income Tax Abate. Program	205,000	85,128	(119,872)
Pequot Funds	6,879,144	7,417,028	537,884
Telecommunications Property Tax	585,000	615,596	30,596
Town Aid: Roads	1,248,685	1,244,746	(3,939)
	59,094,456	57,285,996	(1,808,460)
II.TOTAL STATE AID	207,969,252	206,107,796	(1,861,456)
Licenses/Permits/Services & Fees:			
Ofc of Technology	2,000	2,315	315
Other Agencies	50,000	45,356	(4,644)
Maps/Bid Documents	5,000	4,235	(765)
Parks-Lghthse.-Adm&Concession	125,000	68,395	(56,605)
Park Dept.-Carousel & Bldng	5,000	1,345	(3,655)
Park Dept.-Other Fees	50,000	58,833	8,833
Town Clerk/City Clerk	350,000	397,560	47,560
Police Service	145,000	111,990	(33,010)
Police - Animal Shelter	6,500	3,755	(2,745)
Police - False Alarm Ordinance		147,778	147,778
Fire Service	75,000	72,155	(2,845)
Fire Services Medical Response Billing	120,000	77,820	(42,180)
Engineers - Cost Recovery	25,000	7,584	(17,416)
Health Services	335,000	333,205	(1,795)
Registrar of Vital Stats.	700,000	679,859	(20,141)
Public Space Lic./Permits (OBIE)	175,000	143,058	(31,942)
Public Works Evictions	3,000	3,735	735
Public Works Bulk Trash Pick Up	45,000	45,458	458
Residential Parking	36,000	33,285	(2,715)
Traffic & Parking/Meter Receipts	5,500,000	5,756,520	256,520
Building Inspections	9,000,000	7,923,711	(1,076,289)
Shell fish	-	32,229	32,229
High School Athletics	25,000	36,440	11,440
III. TOTAL LICENSES PERMITS & FEES	16,777,500	15,986,621	(790,879)
Income from Short Term Investments:			
Interest Income	40,000	(38,682)	(78,682)
IV. TOTAL INTEREST INCOME	40,000	(38,682)	(78,682)

GF REVENUE BUDGET - FY 13-14 BOA APPROVED

DETAIL OF GENERAL FUND REVENUE	FY 13-14 BOA APPROVED	FY 13-14 RECOGNIZED	
Received from Rents:			
Parks Employee Rents	10,000	5,820	(4,180)
Misc Comm Dev Rent	92,021	15,060	(76,961)
Coliseum Lots	240,000	240,000	-
Parking Space Rental	4,000	3,025	(975)
	346,021	263,905	(82,116)
Received from Fines:			
Superior Court	90,000	44,085	(45,915)
Parking Tags	5,300,000	4,257,684	(1,042,316)
LCI Ticket Collections	50,000	-	(50,000)
Public Works: Public Space Violations	5,000	300	(4,700)
	5,445,000	4,302,069	(1,142,931)
V. TOTAL RENTS AND FINES	5,791,021	4,565,974	(1,225,047)
Payments in Lieu of Taxes:			
So Central Regional Water Auth.	1,100,000	1,033,236	(66,764)
Parking Authority PILOTS	57,000	43,609	(13,391)
52 Howe Street	65,000	67,097	2,097
Hospital of St. Raphael	9,500	-	(9,500)
Trinity Housing	40,000	66,808	26,808
Eastview PILOT	30,000	28,616	(1,384)
Ninth Square	750,000	580,065	(169,935)
	2,051,500	1,819,432	(232,068)
Other Taxes and Assessments:			
Real Estate Conveyance Tax	1,275,000	1,549,397	274,397
Yale Payment-Fire Services	2,704,872	2,704,872	-
Air Rights Garage	200,000	200,000	0
	4,179,872	4,454,269	274,397
Miscellaneous:			
Controller	1,000,000	848,115	(151,885)
BABS Revenue	891,088	820,247	(70,841)
Off Track Betting	885,000	840,328	(44,672)
Personal Motor Vehicle Reimb	15,000	12,331	(2,669)
Neigh. Pres Loan Payments	3,000	822	(2,178)
NHPA: PILOT	2,500,000	2,500,000	-
GNHWPCA:PILOT	608,400	608,400	-
	5,902,488	5,630,243	(272,245)
Other Revenue			
Non-Profits	8,951,405	8,341,236	(610,169)
Sale of City Assets - 243 Legion Ave	-	2,550,000	2,550,000
Premium from the Proceeds of 2013 Issue B	-	1,749,713	1,749,713
I-95 Highway Expansion Program	225,000	350,842	125,842
	9,176,405	12,991,791	3,815,386
VI. TOTAL OTHER REVENUE	21,310,265	24,895,735	3,585,470
GRAND TOTAL	497,454,609	495,516,786	(1,937,823)

Non-profits

	Fy 14 Actual
Adoni Spiritual Center & Other	16,664
Casey Foundation	68,000
Yale NH Hospital	2,669,031
Yale University \$13,197 cola for Fy 13 payment	5,587,540
	8,341,235

FISCAL YEAR 2013-2014 CLOSEOUT TRANSFER

ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE CHARTER AND SECTION 2-190 OF THE CODE OF GENERAL ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES FOR THE FISCAL YEAR ENDING 6-30-14

WHEREAS, Section 60 of the Charter and Section 2-190 of the Code of Ordinances requires the approval of the Board of Aldermen for the transfer of funds within the General Fund budget, and,

WHEREAS, at the conclusion of Fiscal Year 2013-14 there exists several line items in several departments which have negative balances requiring a budget transfer, and,

WHEREAS, Transfer #14-999 has been prepared to eliminate all such negative line item balances,

NOW, THEREFORE, BE IT ORDAINED by the New Haven Board of Aldermen that Transfer #14-999 be approved in order to eliminate FY 13-14 negative line item balances.

#14-999

FROM		TO	
<u>DEPT</u>	<u>ACCOUNT BALANCE</u>	<u>DEPT</u>	<u>ACCOUNT BALANCE</u>
CAO	172,729	LIBRARY	(138,256)
POLICE	1,781,949	REGISTRAR OF VOTERS	(59,480)
WORKERS COMP	1,412,108	FIRE	(1,881,657)
UNEMPLOYMENT	7,801	FAIR RENT	(2,774)
		PUBLIC WORKS	(350,669)
		ENGINEERING	(147,892)
		DEVELOPMENT OPER. CONT.	(16,036)
		COMMISSION ON EQUAL OPP	(3,558)
		FICA/MEDICARE	(250,656)
		CITY MATCH EXEC/CONFIDENTIAL	(26,002)
		LABOR SAVINGS	(497,605)
TOTAL	3,374,587		(3,374,587)



CITY OF NEW HAVEN
OFFICE OF MANAGEMENT & BUDGET
165 Church Street, New Haven, CT 06510

Toni N. Harp
Mayor

Joe Clerkin
Budget Director

City Of New Haven Transfer Form
Office of Management and Budget
Michael Gormany, Management and Policy Analyst
Phone (203) 946-6413 Fax (203) 946-7924
mgormany@newhavenct.net

City Of New Haven Transfer Form Office of Management and Budget Michael Gormany, Management and Policy Analyst Phone (203) 946-6413 Fax (203) 946-7924 mgormany@newhavenct.net						Agency Name Date Prepared Transfer Number Fiscal Year Quarter				Management and Budget September 29, 2014 14-999 2014 4			
FROM						TO							
Line No	Organization Name	Organization Code	Object Code Name	Object code	Transfer Amount	Organization Name	Organization Code	Object Code Name	Object code	Transfer Amount			
1	Various Departments	See attached	Expenses		3,374,586	Various Departments	See attached	Expenses		3,374,587			
2													
3													
4													
5													
6													
7													
8													
9													
Total					3,374,587	Total					3,374,587		

Transfer Explanation: ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE CHARTER AND SECTION 2-190 OF THE CODE OF GENERAL ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES FOR THE FISCAL YEAR ENDING 6-30-14

Toni N. Harp
Mayor or Designee

Joe Clerkin
Signature of Department Head

Joe Clerkin
Signature of Coordinator

CHECK LIST FOR ALDERMANIC SUBMISSIONS

<input checked="" type="checkbox"/>	Cover Letter
<input checked="" type="checkbox"/>	Resolutions/ Orders/ Ordinances
<input checked="" type="checkbox"/>	Prior Notification Form
<input checked="" type="checkbox"/>	Fiscal Impact Statement - Should include comprehensive budget
<input type="checkbox"/>	Supporting Documentation
<input type="checkbox"/>	Disk or E-mailed Cover letter & Order

IN ADDITION IF A GRANT:

<input type="checkbox"/>	Notice of Intent
<input type="checkbox"/>	Grant Summary
<input type="checkbox"/>	Executive Summary (not longer than 5 pages without an explanation)

Date Submitted: October 1, 2014

Meeting Submitted For: October 22, 2014

Regular or Suspension Agenda: _____

Submitted By: Joe Clerkin, Budget Director

Title of Legislation:

ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE
CHARTER AND SECTION 2-190 OF THE CODE OF GENERAL
ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES FOR
THE FISCAL YEAR ENDING 6-30-14

Comments: _____

Year-end surplus of \$4,743,600 comprised of the following:

1) Mid-Year Rainy Day fund transfer arising from 2013 Series B Re-funding \$4,103,249

2) Operating Surplus of \$640,351.

Coordinator's Signature: Joe Clerkin

Controller's Signature (if grant): _____

Mayor's Office Signature: _____

PRIOR NOTIFICATION FORM

NOTICE OF MATTER TO BE SUBMITTED TO THE BOARD OF ALDER'S

TO (list applicable Alder):

Entire Board

DATE: **October 1, 2014**

FROM: Department
Person

Ofc of Management & Budget

Joe Clerkin

Telephone 946-7922

This is to inform you that the following matter affecting your ward(s) will be submitted to the Board of Aldermen.

ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE
CHARTER AND SECTION 2-190 OF THE CODE OF GENERAL
ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES
FOR THE FISCAL YEAR ENDING 6-30-14

Check one if this an appointment to a commission

☐ Democrat

☐ Republican

☐ Unaffiliated/Independent/Other _____

INSTRUCTIONS TO DEPARTMENTS

1. Departments are responsible for sending this form to the alder(s) affected by the item.
2. This form must be sent (or delivered) directly to the alder(s) **before** it is submitted to the Legislative Services Office for the Board of Alder agenda.
3. The date entry must be completed with the date this form was sent the alder(s).
4. Copies to: alderperson(s); sponsoring department; attached to submission to Board of Alder's.

FISCAL IMPACT STATEMENT

DATE: October 1, 2014
FROM (Dept.): Ofc. Of Management & Budget
CONTACT: Joe Clerkin PHONE: 203-946-7922

SUBMISSION ITEM (Title of Legislation):

**ORDINANCE AMENDMENT PURSUANT TO SECTION 60 OF THE
CHARTER AND SECTION 2-190 OF THE OF CODE OF GENERAL
ORDINANCES ELIMINATING NEGATIVE LINE ITEM BALANCES FOR
THE FISCAL YEAR ENDING 6-30-14.**

List Cost: Describe in as much detail as possible both personnel and non-personnel costs; general, capital or special funds; and source of funds currently budgeted for this purpose.

				CAPITAL/LINE
	GENERAL	SPECIAL	BOND	ITEM/DEPT/ACT/OBJ CODE
A. Personnel				
1. Initial start up				
2. One-time				
3. Annual	See Attached			
B. Non-personnel				
1. Initial start up				
2. One-time				
3. Annual	See Attached			

List Revenues: Will this item result in any revenues for the City? If Yes, please list amount and type.

NO ☒
YES ☐

1. One-time
2. Annual